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11/30/01
C812 U.S.**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 393032001901

First Inventor Hideo SUZUKI

Title MUSICAL TONE-GENERATING METHOD

Express Mail Label No. EL824966846US

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11/30/01**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

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Marco Jimenez

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [Total Pages 53]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 USC 113) [Total Sheets 17]
5. Oath or Declaration [Total Pages 1]
- a. Newly executed (original or copy)
- b. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6. Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. copy of executed Assignment from prior application (the prior application is assigned to Yamaha Corporation, recorded in the prior application on 11/25/97, Reel/Frame: 8847/0958)
10. 37 CFR 3.73(b) Statement
(where there is an assignee) Power of Attorney
11. English Translation document (if applicable)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations: 14
13. Preliminary Amendment (12 pp), and two sheets of corrected drawings
14. Return Receipt Postcard (MPEP 503)
Should be specifically itemized
15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other Change of Correspondence and Associate Power

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP)

of prior application No: 08/977,727 filed November 25, 1997

Prior application information:

Examiner: Marion T. Fletcher

Group / Art Unit: 2837

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. This incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. The power of Attorney appointing David L. Fehrman, Reg. No. 26,600 appears in the original papers of the prior application. Please address all correspondence for this application to the address as given below.

19. CORRESPONDENCE ADDRESS Customer Number or Bar Code Labelor Correspondence address below

25224

PATENT TRADEMARK OFFICE

(Insert Customer No. or Attach bar code label here)

Name	David L. Fehrman			
	Morrison & Foerster			
Address	555 W. 5th Street, 35th Floor			
City	Los Angeles	State	CA	Zip Code
Country	US	Telephone	(213) 892-5601	Fax (213) 892-5454
Name (Print/Type)	Mehran Arjomand	Registration No. (Attorney/Agent)	48,231	
Signature	November 30, 2001			

la-533709

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FEE TRANSMITTAL FOR FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$992.00)

Complete if Known

Application Number	Not yet assigned
Filing Date	Concurrently herewith
First Named Inventor	Hideo SUZUKI
Examiner Name	Unknown
Group Art Unit	Unknown

Attorney Docket No. 393032001901

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 03-1952

Deposit Account Name Morrison & Foerster LLP

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:

- Check Credit Card Money Order Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions of the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per properties (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

SUBTOTAL (1) (\$740.00)

2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Extra Claims	Fee from below	Fee Paid
11	-20** =	0	x 18	= \$0
6	-3** =	3	x 84	= \$252

Multiple Dependent

280

= \$0

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claims, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$252.00)

** or number previously paid, if greater; For reissues, see above.

*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$0)

SUBMITTED BY

Name (Print/Type)	Mehran Arjomand	Registration No. (Attorney/Agent)	48,231	Telephone	(213) 892-5630
Signature				Date	November 30, 2001

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Form PTO-1595
1-31-92

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying parties: Hideo SUZUKI;
Masao SAKAMA; and Yoshimasa ISOZAKI

2. Name and address of receiving party(ies):

Name: YAMAHA CORPORATION

Additional name(s) of conveying party(ies) attached? yes no

3. Name of Conveyance:

Assignment Merger
 Security Agreement Change of Name
 Other _____

Street Address: 10-1, Nakazawa-cho
Hamamatsu-shi, Shizuoka-ken, Japan

City _____ State _____ ZIP _____

Execution Date: November 14, 1997

Additional name(s) & address(es) attached? yes no

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: November 14, 1997

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? yes no

5. Name and address of party to whom correspondence concerning document should be mailed:

6. Total number of applications and patents involved: ONE

Name: David L. Fehrman, Esq.

Internal Address: GRAHAM & JAMES LLP

7. Total fee (37 CFR 3.41):.....\$ 40.00

Enclosed

Authorized to be charged to deposit account

Street Address: 801 S. Figueroa St., 14th Flr.

City: Los Angeles State: CA ZIP 90017-5554

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Brian M. Berliner
Name of Person Signing

November 25, 1997
Date

Total number of pages comprising cover sheet, attachments and document: 2

OMB No. 0651-0011 (exp. 4/94)

Mail documents to be recorded with required cover sheet information to:

Assistant Commissioner for Patents
Box Assignment
Washington, D.C. 20231